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REGULATION FOR CERTIFICATION
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1. FOREWORD

This regulation constitutes an integration of the provisions of the General Regulation (REG:001) with reference to activities of the certification scheme of Quality Management Systems (QMS) for the EA28 sector "Construction companies, installers of systems and services". These particular rules refer to the ACCREDIA RT-05 technical regulation. The requirements contained in this document are adopted for the assessment and certification of quality management systems (QMS) whose certification scope falls, at least in part, in sector EA28 "Construction companies, installers of systems and services" and, they must be considered supplementary with respect to the general requirements set out in the regulation for the certification of quality management systems. These specifications, together with the regulation for the certification of quality management systems, are of a contractual nature and contain a series of prescriptions that govern the relationship between SDMCERT s.r.o. The Organization requesting certification, for the entire duration of the contract. For anything not provided for by these particular rules and by the regulation for the certification of quality management systems, the provisions established in the regulations and internal procedures of SDMCERT s.r.o. .

2. PURPOSE AND SCOPE OF APPLICATION

This regulation defines application rules for certifications of compliance with the ISO 9001 standard of companies whose field of activity falls within the EA 28 accreditation sector "Construction companies, installers of systems and services", in order to ensure a correct and effective implementation of the general requirements of the applicable regulatory references.

3. TERMS AND DEFINITIONS

The following definitions are used in this regulation.

- Certification: third party certification of the conformity of products, processes, systems or people (see UNI CEI EN ISO / IEC 17000);
- EU Reg. No. 765/2008 Chapter 1, Art. 2, Paragraph 13;
- Activity subject to assessment: type of intervention associated with one or more works
Within the scope of the activities, the following are distinguished:
 - complex activities (design, construction, installation, etc.);
 - related and coherent activities (maintenance, renovation, etc.).
- Type of work: object affected by the inspection and to be included in the scope of certification (civil buildings, roads, bridges, plants, etc ...);
- Construction sites (temporary sites): external sites where there is an organization for a specific project. For example: demanding and / or long-term construction sites, major installations or complex long-term service activities.
- "Outside work" activities: activities carried out by individuals or small groups of the organization at a customer's office, or other offices indicated by the same, or activities performed by mobile units. Typical examples are: Third Party Responsible Service, installation of non-complex electrical or thermo-plumbing systems and computers, small construction sites, etc ...



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- Macro-typology: grouping of construction processes involved in activities (complex / similar and coherent) associated with several types of works that are identified with a broad terminology, such as "civil engineering works, infrastructural works for mobility, technological systems ..."

4. SCOPE OF CERTIFICATION

The certification purpose will refer exclusively to the activities on which the Organization has given evidence of operate at the time of the audit or for which it is able to demonstrate that it has operated correctly in the past and whose implementation processes have been subject to specific assessment by SDMCERT S.R.O., according to the following rules. As regards the activities and types of works for which the Organization is able to demonstrate that it has operated correctly in the past, these are acceptable, limited to a single activity associated with a type of work, additional to the one subject to verification on site.

Furthermore, in accordance with the wording "to have worked correctly", the certificate / declaration of regular execution of the works must be available, where applicable.

The type of construction and related processes can be usefully correlated to the definitions given in Annex A to Legislative Decree 50/2016 for the categories, both general (OG) and special (OS), with the introduction of any limitations, if necessary, benefiting from the fact that this DPR has confirmed a well-known and shared terminology in the Italian market. The terminology used will be concise and limited, within these categories, to what is actually subject to verification. In defining the scope of certification, according to the above criteria, excessively generic terms will be avoided that do not allow a direct link to be established between the purpose of the certification and what has been verified on site (e.g. installation of technological systems, building works, construction of works roads, etc.).

5. CRITERIA FOR THE EVALUATION OF MANAGEMENT SYSTEMS FOR QUALITY


The audit activity on the individual organization is planned downstream of a general framework of the same. Stopped

it being understood that the certification audits must cover all the requirements of the reference standard, these audits must be oriented with greater attention to those aspects of the management system that assume greater importance according to the type of organization being audited. It follows that SDMCERT S.R.O, before the certification audit, identifies the organizational and managerial characteristics of the individual company to be certified (general company, specialized company, consortium, general contractor), in order to identify the "particularly critical" aspects for the compliance of the quality management system with the ISO 9001 standard.

6. CONSORTIUMS

The criteria described below must be respected and verified. Certificates cannot be awarded primarily to the EA 28 sector, but to the EA 35 sector, and as such, the certification practice will be managed in all its fundamental steps by SDMCERT S.R.O. It is permissible to attribute to sector EA 28 the purpose of QMS certification of legal entities referred to in art. 45 of Legislative Decree 50/2016 coordinated with the corrective Legislative Decree 56/2017, where the following conditions are configured:

- a) the Consortium directly carries out public works with its own means and / or subcontracts, for which it assumes overall responsibility for the proper execution, towards the Contracting Authority. In this case, the certificate can be attributed primarily to sector EA 28 (eg. Certification purpose: "Design and construction of

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....").

b) the Consortium carries out the public works, of which it assumes overall responsibility for the proper execution, towards the Contracting Authority, by assigning the order to associates, according to the consortium procedures provided for by law, equipped with a certified SG, formally explaining this choice / constraint in the Manual and in the specific SG. In this case, the certificate can be attributed primarily to sector EA 35 and only as a secondary sector to sector EA 28 (eg. Certification purpose: "Acquisition and management of orders for the design and construction, by the assignee shareholders , from"). Without prejudice to what is written about the sector to which the certificate is to be awarded, where the situation described in case b) occurs, it is also necessary to distinguish between the following consortium operating methods:

b1) the Consortium assigns exclusively to associates with certified QMS (constraint formally specified in the QMS and QMS): in this case, the duration of the audits will be defined on the basis of the number of employees dedicated to the typically consortium activity. Therefore, audits are not envisaged at the construction sites assigned to the members with certified QMS (as they have already been verified by the Certification Bodies that have issued the certification to the consortium members).

b2) the Consortium does not undertake to assign exclusively to associates with certified QMS: the management system implemented provides for the methods of application of the system to the orders entrusted to the shareholders and the methods of control of the application by the Consortium. In this case, the duration of the audits will be defined on the basis of the number of employees dedicated to the typically consortium activity and the need to carry out audits at the sites assigned to the associated companies, to verify the compliance and effectiveness of the services (example: PdQ preparation , NC / AC / AP management, quality audit and technical surveillance on construction sites), provided by the Consortium to non-certified associates in order to ensure compliance with the QMS in the service delivery phase.

With reference to the performance of activities in the context of public clients, the Consortia have the legal obligation to guarantee, towards the Contracting Authority, the completion of public works, whose realization has been entrusted to associates. In the event that the latter, during construction, prove unable to complete the work entrusted to them, it will be necessary to ensure that the management system of the Consortium provides for specific methods to manage similar situations also through the possible direct implementation of the public work. This, in order to ensure, in addition to the completion of public work, the effective and continuous application of the certified management system to all public works for which the Consortium has received a contract.

7. GENERAL CONTRACTORS

For organizations operating as general contractors, the criteria below must be respected and verified defined. The certification of the management system for the quality of the General Contractor must refer to the management aspects of the General Contractor as a whole, in relation to the activities carried out. With reference to the actual activities carried out by the General Contractor; two possible situations are identified:

a) the General Contractor only carries out management activities: in this case, the certificate may be assigned primarily to sector EA 35 and as a secondary sector to sector EA 28.

b) the General Contractor carries out management and implementation activities directly with its own means and / or through subcontracting of the Design and Construction, Works Management, Testing, Verification of Projects for the purposes of Validation, for which the General Contractor assumes overall responsibility of good execution: in this case, the certificate can be attributed primarily to sector EA 35 and as secondary sectors to sectors EA 28 and EA 34. In any case, the certificate will bear the words: "Management of the



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general contractor activities carried out pursuant to Title III of Legislative Decree 502/2016 coordinated with the corrective Legislative Decree 56/2017 and, at the bottom of the certificate, the declaration: "The this certification refers to the management aspects of the company as a whole and can be used for the purposes of qualifying general contractors pursuant to Article 197 of Legislative Decree 502/2016 coordinated with the corrective Legislative Decree 56/2017. "

In the case of Organizations that are not yet certified or that have not yet operated as General Contractors, we will proceed by assessing compliance according to UNI EN ISO 9001 and conferring on it those sectors such as EA35, 28, 34 only if it will be possible to verify during audit the related processes. It will also be possible to report the references to Legislative Decree 502/2016 coordinated with the corrective Legislative Decree 56/2017 and subsequent amendments. only in the event that the Organization has given evidence that it has prepared suitable documentation and procedures to be able to operate as a General Contractor. Following the subsequent acquisition of an order relating to the management of the activities referred to the General Contractor, the Organization must promptly keep SDMCERT S.R.O. updated, which will carry out a specific check to ascertain the correct application of the procedures adopted. If within a three-year period of certification the Organization has not given evidence of the foregoing point, the scope part and the references relating to the activities referred to in the General Contractor will lapse.

8. TEMPORARY GROUPINGS OF COMPANIES – ATI

Given the high frequency of setting up temporary business clusters (ATI) in the Italian market for constructions, especially of the horizontal type (grouping of companies with homogeneous production characteristics), it is clarified that the ATI are not, by their nature, certifiable. Therefore what follows refers exclusively to the individual organizations that constitute the same ATI. The following criteria must be respected and verified:

- the organization's QMS must impose a specific obligation on it to prepare what is necessary for the horizontal ATI (or the consortium company established downstream of the ATI), of which it is the agent, to operate in compliance with the applicable requirements of the UNI EN ISO 9001 standard;
- construction sites managed by an ATI, or by the consortium company established downstream of the ATI, can always be used for the evaluation of the QMS of the organization that operates as a horizontal ATI;

- it must be avoided to use the construction site of an ATI or a consortium company established downstream of the ATI to evaluate the QMS of an organization that operates as a principal.

In the case of a vertical ATI (grouping of companies with non-homogeneous production characteristics), or in the case of a horizontal ATI with a formalized division of labor by production batches, no particular evaluation criteria are adopted as each organization applies, separately, its own QMS.

9. OBJECTIVE EVIDENCE

In addition to the specific objective evidence in relation to compliance with the UNI EN ISO 9001 standard, they must be provided available from the organization and acquired the following objective evidence of a general nature:

- **Mandatory sector regulations** (eg identification of applicable laws / regulations, controlled list and its updating and dissemination methods, evidence of knowledge of applicable laws / regulations, evidence of application);
- **Internal audits have been carried out**, including construction site activities;
- The **Management Review** has been carried out;



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- **Existence of documentation planning and control of documentation**, correctly compiled and verification of its adequacy in relation to the activity or type of work being evaluated, for example Time Schedule, Quality Plans, Work Journal, Control Plan. The Quality Plans must have a degree of updating and in-depth analysis related to the economic and technical relevance of the order. For recurring jobs, plans may be based on standard documents. The control plan is the most significant element of the quality plan and requires a detailed analysis of the operational phases. For elementary and reduced works, the Quality Plans can coincide only with the manufacturing and control plans.
- **Contract stipulated with the Client** (eg Certificate / Declaration of regular execution, in the case of completed works);
- **Review of the contract in progress**, managed in a documented manner (eg verification of specifications, management of variant appraisals, technical variants without cost increases, service orders from the Works Management, customer complaints / disputes);
- **Functionality and testing tests**, duly recorded;
- **Validation of special processes** (e.g. production of concrete on site, construction of welded steel joints and plastic joints, waterproofing, applications of anticorrosive systems (e.g. painting), construction of joints in electrical cables, production of mortars on site, asphalt paving, demolition with explosives);
- **Skills and qualifications of the staff** (e.g. definition / identification / evidence of the skills and qualifications of the staff with respect to the mandatory requirements (by way of example and not exhaustive ref. 21.01.2019 "Circular application NTC 2018", Legislative Decree 81/2008 and subsequent amendments) and the requirements defined by the organization);
- **Existence of suitable means and equipment** (adapted to the needs of the construction site, either owned by the company, or acquired in rental, depending on the temporal development of the activities of the construction sites);
- **Subcontract / supplier management** (eg presence of clear and valid contractual clauses, system documents must specify how controls are carried out). The QMS documents (Quality Manual, Quality Plans or others) must specify how subcontracts or supplies are controlled;
- **Management of critical products** (identification of critical products in relation to the processes in place, related controls, presence of declarations of conformity (the company must verify the presence of the "Declarations of Performance" for products subject to CE marking according to the Regulation (EU) N. 305/2011 for the marketing of construction products;
- **Unique identification of the product**, kept under control and related records;
- **Identification and traceability of the materials put in place** (e.g. correspondence between project and work in the construction and / or construction phase, check the timing and control methods provided for by the applicable Laws in relation to the material prior to implementation and in progress Opera);
- **Management of measuring instruments** (eg list of measuring instruments, procedures for managing and controlling measuring instruments, procedures for metrological confirmation);
- **Customer property**;
- **Risk assessment** and safety planning documents for headquarters and construction sites, in compliance with the applicable legal requirements (eg POS, PSC, DUVRI, PIMUS, assessment of the activities / processes reported in the POS with the work program and site reports).

10. DESIGN

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With regard to the "design" process, it should be noted that the design process can be included in the scope of the certificate if the Organization demonstrates that it possesses the internal and / or outsourced skills and resources required by applicable laws and regulations; if the design activity is carried out on behalf of third parties, the related process must be attributed to the EA 34 product sector.

It should be noted that according to the current edition of the UNI EN ISO 9001 standard, all points are applicable.

The ISO 9001 standard speaks of the applicability of requirements, stating that, if a requirement is applicable, it must be applied. In the event that the design requirement cannot be applied, this must not affect the ability and / or responsibility of the Organization to ensure compliance of products and services and customer satisfaction. The Organization must demonstrate that any non-applicable requirements have no relevance to the system, products or services offered.

It should be noted that the operational planning of the construction site, being systematically present in the activities of construction companies, is not specifically referred to in the certification scope. However, representing an important construction process, it will be appropriately and systematically verified by the RGA, including the verification of the professional competence of the Subjects responsible for any project variations.

Here are some examples of situations:

- When the Company is responsible for the project of the completed work, whether it executes it directly or controls its external execution (or in any case does not receive it from the Client), the design process will be included in the certification scope. In any case, the Company retains responsibility for the coordination and control of the design, which it must conduct with personnel of adequate professionalism.
- When the Company is not actually responsible for the design of the works carried out, the certification purpose will not make any reference to the term "design"
- When the Organization has responsibility for the design towards the Client and / or end user, the design is applicable by the company and the term "design" will be explicitly stated in the scope of the certificate and SDMCERT S.r.o will evaluate the Company also with reference to its design skills.

11. METHODS FOR DETERMINING THE SITES TO BE AUDITED

For the sole purpose of choosing the construction sites to be audited, the following two different ones must be taken into consideration

situations:

- Construction sites (temporary sites): external sites where there is an organization for a specific project. For example: demanding and / or long-term construction sites, major installations or complex long-term service activities.
- "Outside work" activities: activities carried out by individuals or small groups of the organization at a customer's office, or other offices indicated by the same, or activities carried out by mobile units. Typical examples are: Third Party Responsible Service, installation of non-complex electrical or thermo-plumbing systems and computers, small construction sites, etc ...



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12. INITIAL AUDIT (PHASE 1 + PHASE 2)


The following conditions must be met at the same time:

- The application of all the requirements of the reference standard must be verified;
- The audit must be carried out on site and on site.

The audit times are calculated on the basis of the tariff in accordance with the tables and criteria for determining the auditor time established by IAF in the document MD 5 "IAF Mandatory Document For Duration of QMS and EMS Audits" (see chapter 3), considering the overall workforce of the company's workers (headquarters + construction sites). These times will be increased according to the number of sites open at the time of certification, their location and their complexity (eg sites with numerous contracted activities) and the documentary evidence to be verified at the time of certification. In this regard, please note that the tariff and the aforementioned IAF MD 5 document specify that: "The meaning of the term" employees ", as reported in the auditor time table, refers to all personnel whose work activities are connected to processes listed in the scope of the QMS certificate. The actual number of employees includes non-permanent staff (seasonal, temporary, contract and subcontractors) who are present at the time of the audit ". With reference to the foregoing and with regard to the calculation of the staff of subcontractors for the purposes of determining the audit man days, SDMCERT S.R.O, in preparing the offers, determines the average annual number of employees and verifies the consistency of the number of employees thus determined with the number of "equivalent employees", by calculating the ratio between the average turnover of the company in the last three years and the reference per capita income of the sector. The total audit time is also affected by the factors of increase and reduction as established by the IAF MD 5 document and by the PRO:001 "Offers and Contracts Management Procedure". The verification of the first operational site and the analysis of two documentary evidence (or alternatively of a second operational site) are not to be considered as additional time with respect to the minimums established in the IAF MD 5 document. Any additional orders must be quoted at least equal to: 2 hours for single operational site, 1 hour for single documentary evidence. On the other hand, all transfer times over 1 hour must be taken into account as additional ones.

The choice of construction sites and the documentary evidence to be subjected to initial inspection and related logistical aspects will be defined by SDMCERT S.R.O. on the occasion of the review of the application and the issue of the offer for certification activities. In this regard, the organization undertakes to provide the list of orders (including all the information necessary for a correct and exhaustive analysis, such as duration and type of work), falling within the scope, managed and / or under management in last 3 years. Any significant deviations in the construction site situation, found during the inspection check, compared to what is indicated in the certification application, will be taken over by SDMCERT S.R.O. which will proceed with a new planning of the evaluation activities. In any case, it is not possible to issue certifications without on-site checks.

The on-site verification of a complex activity (e.g. construction), allows the inclusion of similar and coherent activities in the scope of the certificate (e.g. restructuring, maintenance) only following the evaluation of documentary evidence, and / or further complex activities only to follow-up of evaluation of documentary evidence and only if they fall within the same macro-typology. A macro-typology cannot be verified through the use of documentary evidence. At each audit, any significant deviations in the organization's construction site situation compared to the initial forecast must be promptly reported by the Organization to SDMCERT S.R.O. and to the RGA who will proceed, as necessary, to the re-planning of the evaluation activities, SDMCERT S.R.O. will update its models, the RGA will leave adequate evidence of what has been decided in the Audit Plan or in the Audit Report as appropriate (ref. Certification Audit - Surveillance Audit).

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If significant work is carried out in the same site attributable to several activities associated with one or more types of works, the audit at that site can be considered valid to cover all the activities.

During the certification audit, at least one operational and significant site must be assessed. As regards the evaluation of "External work activities", it is necessary to carry out at least one audit of an operational site, for each activity associated with a specific type of work.

13. PERIODIC SURVEILLANCE

SDMCERT S.r.o. carries out periodic surveillance activities on certified organizations. Periodic surveillance audits are required in order to assess the maintenance of compliance with the requirements of the reference standard, the effectiveness of the Management System and compliance with the applicable legal requirements. The first surveillance audit or subsequent to the first certification / renewal must be scheduled within 12 months from the date of the certification / renewal resolution. The other surveillance audits must be scheduled in the calendar year of the second year following the certification / renewal resolution.

The annual maintenance audit includes at least one site / site verification, so that, within the validity period of the certification, all the activities associated with one or more types of works falling within the scope of the certification are subjected to verification. .

Given the particularity of the sector, tolerances of +/- 3 months on maintenance audit intervals are allowed to take into account any periods of inactivity of the companies involved, except as specified in paragraph 7.3 of RT-05.

The dates by which the surveillance audits must be carried out are indicated in the three-year audit program. Any deviations from the periodicity specified above and established in the three-year audit program for maintaining the certification, admitted only within the tolerances indicated above, must be agreed in advance with SDMCERT S.r.o. and do not change the dates already established for subsequent audits.

For each surveillance, in addition to the activities verified on site, an activity associated with a type of work can also be verified through the use of documentary evidence pursuant to par. 7.5 of RT-05.

Surveillance audits lasting less than 1 man day are not carried out; it is not allowed to carry out an audit using 2 auditors for 0.5 man / days, if not justified.

In the event of an ascertained absence of active construction sites in Italy and abroad and limited to a single possibility during the three-year period of validity of the certificate, SDMCERT S.r.o. it will still be able to carry out the surveillance audit within the times indicated above, verifying the implementation processes through the use of significant documentary evidence that can ensure the functionality and effectiveness of the QMS. (ref. Par. 7.5 of RT-05).

This method will require an explicit written request from the Organization, which it will communicate to SDMCERT S.r.o. the existence of this particular condition (lack of construction site) by means of a declaration signed by the Legal Representative of the company with the following clause: "The undersigned, aware of the responsibility and penalties established by law for false declarations and false declarations, the falsity of documents and the use of false documents, in addition to entailing the forfeiture of any benefits obtained from the provision issued on the basis of the untruthful declaration (Article 75

Presidential Decree 445/2000), constitute a crime punished under the Criminal Code and the special laws on the subject (art. 76 Presidential Decree 445/2000), under his responsibility he declares that the facts, conditions and qualities reported in this communication are true ".




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14. RENEWAL OF THE CERTIFICATION

With reference to the criteria to be followed during the certification renewal audits, the following conditions must be met at the same time:

- The application of all the requirements of the reference standard must be verified.
- The audit must be carried out on site and on site. The audit times are calculated on the basis of the tariff in accordance with the tables and criteria for determining the auditor time established by IAF in the document MD 5 "IAF Mandatory Document For Duration of QMS and EMS Audits", considering the overall staff of the workers of the company (headquarters + construction site). These times will be increased according to the complexity of the sites to be verified (eg sites with numerous contracted activities).
- During the renewal audit, at least one operational site that is in a significant stage of progress must be assessed. As regards the evaluation of "External work activities", it is necessary to carry out at least one audit at an operational site, for each activity associated with a specific type of work.
- An activity associated with a type of work subject to the certification activity can be verified through the use of documentary evidence in accordance with this regulation and p. 7.5 of RT-05.
- No extensions to the expiry dates of the certificates are allowed.
- The on-site verification of a complex activity (e.g. construction), makes it possible to maintain similar and coherent activities within the scope of the certificate (e.g. restructuring) only following the evaluation of documentary evidence, and / or further complex activities only following evaluation of documentary evidence and only if they fall within the same macro-type
- To confirm the certification purpose, for each macro-typology, the construction processes relating to complex activities must have been subject to verification at least twice in an operational site.
- The complete review of the quality management system, to be carried out on the basis of confirmation for the following three-year period, must be completed sufficiently in advance of the expiry date of the certificate. In order for the renewal process to be completed in time with respect to the expiry of the certificate to allow for any management and positive verification of closure / correction of non-conformities and the implementation and effectiveness of the related corrective actions, approval by the Technical Committee of the renewal proposal and the consequent reissue of the certificate, the related Audit must be carried out in advance. The date by which the renewal audit must be carried out is shown in the three-year audit program for maintaining the certification. The entire certification renewal and certificate reissue process must be completed sufficiently in advance of the certificate expiration date. Otherwise, as no extensions are allowed on the expiry of the certificates: they lose their validity at the time of expiry. It is therefore necessary to have greater communication between the Organization and SDMCERT S.r.o, in particular in the period between the last surveillance and the renewal, through a continuous updating of the worksite activities in place, in order to be able to conduct the audit in presence of operational activities.

In exceptional cases of ascertained and serious difficulties of the Organization in having operational sites during the period envisaged for the renewal audit and upon communication by the Organization of this situation to SDMCERT S.r.o, we proceed in any case with the " audit on schedule, albeit limited, only for what concerns the verifications related to the site activities, to the evaluation of the registration documents. Upon positive outcome of the audit in the terms described above, SDMCERT S.r.o. may propose to its Technical

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Committee the issue of the renewal of the certification, however subject to the carrying out of a follow-up audit, as soon as the Organization has communicated the start of construction site activities and in any case within six months from the renewal of the certification . Upon expiry of the 6 months granted for

carry out the follow-up, before proceeding with the revocation of the certificate, you must proceed with the suspension of the certificate for a period not exceeding 1 month.

In the scope of certification, only the activities associated with one or more types of works that have been subject to verification, at least twice (of which only once on a documentary basis) in the past three years of certification are reported (without prejudice to any extensions that occurred in the three-year period and / or in the renewal verification itself).

The renewal verification must be considered, both as the final act of the past three-year period, and as a starting point for the following three-year period. Regardless of the date of effective resolution of the Renewal of the Certification, which must in any case take place before the expiry of the relevant Certificate.

In the case of renewal following the expiry of the certification (see ACCREDIA Circular No. 28/2016 of 07.10.2016), SDMCERT S.R.O. will be able to restore the same within one year, making sure to communicate in the ACCREDIA database the actual date corresponding to or subsequent to the decision to renew (i.e. the date of reactivation of the certificate), while the expiry date will remain that based on the previous certification cycle .


15. USE OF DOCUMENTAL EVIDENCE (SUBSTITUTE)

SDMCERT S.R.O. must take into consideration all the elements reported in Par. 9 as objective evidence of one "Correct execution and quality management" of the works, replacing an activity associated with one or more types of works to be verified on site / site, provided that the activities have not been completed for more than 3 years, except for any applicable legislative requirements. Substitutive documentary evidence can be used only in cases where the works have been completed or partially performed, it is also not applicable in cases of construction sites not yet started, whose checks would be limited and therefore not significant and effective in order to assess the correct quality management of the Organization's system. The analysis of documentary evidence does not necessarily imply an increase in verification times. Substitute documentary evidence cannot be used in cases of request for extension of the scope of the certification. The extension of an activity associated with a type of work can only be granted following verification in an operational and significant construction site.

16. CRITICAL PROCESSES / PRODUCTS

Pursuant to the D.M. 1/17/2018 - NTC and subsequent amendments, Chapter 11, § 11.1 and with specific reference only to products from construction for structural use to be used in the works, here are some requirements to be considered in relation to particular products / processes in the IAF 28 construction sector, for which it is considered important to obtain evidence during the audit:

- Materials and products for structural use: for which a harmonized European standard is available, the reference of which is published in the Official Journal of the European Commission. At the end of the coexistence period their use is possible only if in possession of the CE marking required by EU Regulation no. 305/2011 "Construction products" of the European Parliament and of the Council.

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The updated list is available on the official website of the European Commission (http://ec.europa.eu/enterprise/policies/european-standards/harmonisedstandards/construction/products/index_en.htm).

- Materials and products for structural use: for which a harmonized standard is not available, or the same falls within the coexistence period, for which qualification is instead envisaged with the methods and procedures indicated in the Ministerial Decree of 17.01.2018 (and subsequent amendments). This is without prejudice to the case in which, during the coexistence period of the specific harmonized standard, the manufacturer has voluntarily opted for the CE marking.
- Materials and products for structural use: innovative or in any case not mentioned in Chapter 11 of the NTC and not falling within the aforementioned types. In such cases, the manufacturer will be able to obtain the CE marking in compliance with European Technical Assessments (ETAs), or, alternatively, must be in possession of a Certificate of Technical Suitability for use issued by the Central Technical Service on the basis of guidelines approved by the Superior Council of Public Works.

Furthermore, without existing regulatory reference:

- Prefabrication at the foot of the work: initial, during production and final checks must be documented and conducted according to documented procedures for repetitive processing and, in other cases, detailed in the order Quality Plan. The procedures must provide for compliance with the laws in force.
- Production of concrete and mortars on site: for structural concrete, the above applies; for non-structural concrete, checks must be carried out on the functional characteristics of use.

Below are listed, by way of non-exhaustive example, some elements to be considered critical for structural purposes:

- Reinforcement iron (pre-shaped iron, slow and / or harmonic steel for prestressed concrete, etc...);
- Ready-mixed concrete;
- Cements and mortars;
- Wood-based materials and products;
- Prefabricated components (in concrete, steel, etc...);
- Elements for masonry (concrete blocks, bricks, etc...);
- Bituminous conglomerate.

For materials and products for structural use, the company undertakes to demonstrate that it has taken into consideration the relevant issues.

The auditors of SDMCERT S.R.O. therefore, at each audit, they will verify the checks carried out by the companies on critical materials (purchase specifications and completeness control, correctness of the documentation coming from the supplier) with particular reference to those bearing the CE marking, Certification by the Central Technical Service of the CSLLPP (eg Transformation Centers), FPC certification (eg concrete production plants with industrialized process) by an independent third party, in turn authorized by the Central Technical Service of the CSLLPP.

For other products, the company undertakes to establish, in the most appropriate manner, which checks to carry out upon acceptance, ensuring that the final product always meets the required requirements.